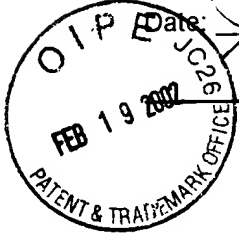


CERTIFICATE OF MAILING

I certify that this Preliminary Amendment is being deposited via Facsimile at 703-308-1823 and with the U.S. Postal Service as First Class Mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Date: January 29, 2002 By: Luigi Mascari  
Signature of Person Mailing Correspondence

PATENT

IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE

Applicant:	Bishop, et al.	Docket No.:	10655.8000
Serial No.:	09/652,899 ✓	Art Unit:	2131
Filing Date:	August 31, 2000	Examiner:	TBA
Title:	METHODS AND APPARATUS FOR CONDUCTING ELECTRONIC TRANSACTIONS		

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIVED  
FEB 27 2002  
Technology Center 2100

Dear Commissioner:

IN THE CLAIMS

Please enter the following new claims before examining the aforementioned patent application.

- 1           43.   (New) A method for conducting a transaction, the method comprising:
- 2               a.   receiving a transaction request from a user at a server;
- 3               b.   issuing a challenge to the user;

64/2131/1#

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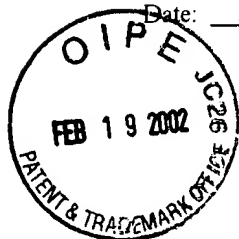
copy

**CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. §1.8**

I hereby certify that this correspondence, pursuant to 37 C.F.R. §1.8, is being sent via Facsimile at 703-308-1823 and also deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on:

Date: January 29, 2002

By Serge Mascari



PATENT

**IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE**

PRELIMINARY AMENDMENT RESPONSE TRANSMITTAL

Applicant(s): Bishop et al.

Docket No.: 10655.8000

Serial No.: 09/652,899

Group Art Unit: 2131

Filing Date: August 31, 2000

Examiner: TBA

TITLE: METHODS AND APPARATUS FOR  
CONDUCTING ELECTRONIC  
TRANSACTIONS

**RECEIVED**

**FEB 27 2002**

**Technology Center 2100**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir/Madam:

Attached herewith is a Preliminary Amendment for the above-identified application.

**FEE CALCULATION, CLAIMS AS AMENDED:**

	Claims remaining after amendment		Highest number previously paid for	Present Extra	Additional Fee
Total Effective Claims	89	minus	42 =	47 x \$ 18.00 =	846.00
Independent Claims	13	minus	5 =	8 x \$ 84.00 =	672.00
If amendment enters proper multiple dependent claim(s) into this application for first time (per application)				\$280.00 +	N/A
				<b>Subtotal</b>	<b>1,518.00</b>

Fee Calculation: Request for Extension of Time pursuant to  
37 C.F.R. §1.136(a)

<input type="checkbox"/>	Response filed within <b>first</b> month after due date - add	\$ 110.00	
<input type="checkbox"/>	Response filed within <b>second</b> month after due date - add	\$ 400.00	
<input type="checkbox"/>	Response filed within <b>third</b> month after due date - add	\$ 920.00	
<input type="checkbox"/>	Response filed within <b>fourth</b> month after due date - add	\$1440.00	
<input type="checkbox"/>	Response filed within <b>fifth</b> month after due date - add	\$1960.00	
	<b>TOTAL:</b>	\$	0.0
		\$	N/A

If one of the "small entity" boxes above is checked, enter half (½)  
of the second subtotal and **subtract**.

Information Disclosure Statement Pursuant to 37 C.F.R. §§1.97-1.98

N/A

**TOTAL ADDITIONAL FEE ENCLOSED** \$ 1,518.0

☒ Please charge Deposit Account No. 19-2814 in the amount of \$ 1,518.00.  
A duplicate copy of this sheet is attached.

☐ Check No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ is attached.

**This statement does NOT authorize charge of the issue fee.** The Commissioner is hereby authorized to charge any other fee specifically authorized hereafter, or any **deficiency** in the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under 37 C.F.R. §§1.16-1.18 (**deficiency** only) now or hereafter relative to this Application and the resulting Official document under 37 C.F.R. §1.20, or credit any overpayment to Account No. 19-2814 for which purpose a duplicate copy of this sheet is attached.

Respectfully submitted,

Dated: 29 July 2002

By: Brett A. Carlson

Brett A. Carlson  
Reg. No. 39,928

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